#### Dependency Grammars and Parser LING 571 — Deep Processing for NLP October 17, 2018



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#### Announcements:

- Compiled evalb for MacOS on Canvas:
  - https://canvas.uw.edu/files/51379663/download?download\_frd=1







#### PCKY Algorithm

function PROBABILISTIC-CKY-PARSE(words, grammar) returns most probable parse and its probability **for**  $j \leftarrow$  **from** 1 to LENGTH(*words*) **do** for all  $\{A \mid A \rightarrow words[j] \in grammar \}$  $table[j-1, j, A] \leftarrow P(A \rightarrow words[j])$ for  $i \leftarrow$  from j-2 downto 0 do for  $k \leftarrow i + 1$  to j - 1 do for all  $\{A \mid A \rightarrow B \ C \in grammar, \}$ and *table*[*i*, *k*, *B*] > 0 and *table*[*k*, *j*, *C*] > 0 } if  $(table[i, j, A] < P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C])$  then table [i, j, A]  $\leftarrow P(A \rightarrow BC) \times table[i,k,B] \times table[k,j,C]$  $back[i, j, A] \leftarrow \{k, B, C\}$ **return** BUILD\_TREE(*back*[ 1, LENGTH(*words*), *S* ]), *table*[ 1,LENGTH(*words*), *S* ]









#### Notes On HW #4

#### "the dog chased the cat on the mat"

0	Det → "the" [-0.693]	$NP \rightarrow _{0}Det_{1}N_{2} [-1.897]_{(0,0)}$			$S \rightarrow {}_{0}NP_{2}VP_{5}$ [-4.528] <sub>(0,0)</sub>			$S \rightarrow {}_0NP_2VP_8 [-8.6]$
	I	N → "dog" [-0.916]						
		2	$V \rightarrow$ "chased" [-0.511]		$VP \rightarrow {}_{2}V_{3}NP_{5}$ [-2.631] <sub>(0,0)</sub>			$VP \rightarrow {}_2V_3NP_8 \text{ [-6.7]}$
$S \rightarrow NP VI$		[1.0]	3	Det → "a" [-0.693]	$NP \rightarrow _{3}Det_{4}N_{5} [-1.897]_{(0,0)}$			$NP \rightarrow {}_{3}NP_{5}PP_{8} [-5.9]$
	$\begin{array}{ccc} PP & \rightarrow P NP \\ NP & \rightarrow Det N \\ NP & \rightarrow NP PP \end{array}$	$ \begin{array}{cccc} [1.0] \\ V & [0.75] \\ P & [0.25] \end{array} $		4	N → "cat" [-0.916]			
	$VP \rightarrow VNP$ $VP \rightarrow VPPP$ $Det \rightarrow a'$	[0.8] [0.2] [0.5]			5	P → "on" [-0.105]		PP → 5P6NP8 [-2.69
	$\begin{array}{ccc} Det \rightarrow `the' \\ Det \rightarrow `the' \\ N \rightarrow `dog' \\ N \end{array}$	[0.5] [0.4]				6	Det → "the" [-0.693]	$NP \rightarrow {}_{6}\text{Det}_{7}\text{N}_{8} [-2.$
	$N \rightarrow cat'$ $N \rightarrow mat'$ $V \rightarrow chased$	[0.4] [0.2] l' [0.6]					7	N → "mat" [-1.609]
	$V \rightarrow \text{`sat'} \\ P \rightarrow \text{`on'} \\ P \rightarrow \text{`in'} \end{cases}$	[0.4] [0.9] [0.1]						

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	$N \rightarrow cat'$ $N \rightarrow mat'$ $V \rightarrow chased$	[0.4] [0.2] ' [0.6]					7	N → "mat" [-1.609]
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### Notes On HW #4







## Roadmap

- Dependency Grammars
  - Definition
  - Motivation:
    - Limitations of Context-Free Grammars
- Dependency Parsing
  - By conversion to CFG
  - By Graph-based models
  - By transition-based parsing





## Dependency Grammar

#### • [**P**]**CFGs**:

- Phrase-Structure Grammars
- Focus on modeling constituent structure

#### • **Dependency grammars:**

- Syntactic structure described in terms of
  - Words
  - Syntactic/semantic relations between words







## Dependency Parse

- A Dependency parse is a tree, where:
  - Nodes correspond to words in string
  - Edges between nodes represent dependency relations
    - Relations may or may not be labeled







Argument Dependencies				
Abbreviation	Description			
nsubj	nominal subject			
csubj	clausal subject			
dobj	direct object			
iobj	indirect object			
pobj	object of preposition			
Modifier I	Dependencies			
Abbreviation	Description			
tmod	temporal modifier			
appos	appositional modifier			
det	determiner			
prep	prepositional modifier			





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#### Alternative Representation





- More natural representation for many tasks
  - Clear encapsulation of predicate-argument structure
    - Phrase structure may obscure, e.g. wh-movement
- Good match for question-answering, relation extraction
  - Who did what to whom?
  - = (Subject) did (theme) to (patient)
  - Helps with parallel relations between roles in questions, and roles in answers







- Easier handling of flexible or free word order
- How does CFG handle variation in word order?



## Why Dependency Grammar?



#### $S \rightarrow NP VP PP$



- English has relatively fixed word order
- Big problem for languages with freer word order







#### $S \rightarrow NP VP PP$



- How do dependency structures represent the difference?
  - Same structure
  - Relationships are between words, order insensitive



- called-in sick on = temporal modifier Tuesday
- I called in sick on Tuesday





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  - Same structure
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did

when did I call in sick?



call-in

# sick when = temporal modifier





- Phrase Structures:
  - Must derive full trees of many non-terminals
- Dependency Structures:
  - For each word, identify
    - Syntactic head, *h*
    - Dependency label, d
  - Inherently lexicalized



#### Natural Efficiencies

Strong constraints hold between pairs of words





### Summary

- Dependency grammars balance complexity and expressiveness
  - Sufficiently expressive to capture predicate-argument structure
  - Sufficiently constrained to allow efficient parsing

- Still not perfect
  - "On Tuesday I called in sick" vs. "I called in sick on Tuesday"
  - ... I would argue these feel pragmatically different, might want to represent differently.







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- By conversion from CFG
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#### Conversion: $PS \rightarrow DS$

- Can convert Phrase Structure (PS) to Dependency Structure (DS) • ... without the dependency labels (semantic roles)
- Algorithm:
  - Identify all head children in PS
  - Make head of each non-head-child depend on head of head-child
  - Use a head percolation table to determine headedness











NNS















































































#### Head Percolation Table

- Finding the head of an NP:
  - If the rightmost word is preterminal, return
  - ...else search Right  $\rightarrow$  Left for first child which is NN, NNP, NNPS...
  - ...else search Left $\rightarrow$ Right for first child which is NP
  - ...else search Right  $\rightarrow$  Left for first child which is \$, ADJP, PRN
  - ...else search Right  $\rightarrow$  Left for first child which is CD
  - ...else search Right  $\rightarrow$  Left for first child which is JJ, JJS, RB or QP
  - ...else return rightmost word.



#### From J&M Page 411, via Collins (1999)



#### Conversion: DS → PS

- Can map any projective dependency tree to PS tree
- Projective:
  - Does not contain "crossing" dependencies w.r.t. word order















From McDonald et. al, 2005





#### Conversion: $DS \rightarrow PS$

- For each node w with outgoing arcs...
  - ...convert the subtree w and its dependents  $t_1, ..., t_n$  to a new subtree:
    - Nonterminal:  $X_w$
    - Child: w
    - Subtrees  $t_1, \ldots, t_n$  in original sentence order





























#### Conversion: $DS \rightarrow PS$

- What about labeled dependencies?
  - Can attach labels to nonterminals associated with non-heads
  - e.g.  $X_{little} \rightarrow X_{little:nmod}$
- Doesn't create typical PS trees
  - Does create fully lexicalized, labeled, context-free trees
- Can be parsed with any standard CFG parser





root The dog barked at the cat .







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## Graph-based Dependency Parsing

- Goal: Find the highest scoring dependency tree  $\hat{T}$  for sentence S
  - If S is unambiguous, T is the correct parse
  - If S is ambiguous, T is the highest scoring parse
- Where do scores come from?
  - Weights on dependency edges by learning algorithm
  - Learned from dependency treebank
- Where are the grammar rules?
  - ...there aren't any! All data-driven.





## **Graph-based** Dependency Parsing

- Map dependency parsing to Maximum Spanning Tree (MST)
- Build fully connected initial graph:
  - Nodes: words in sentence to parse
  - Edges: directed edges between all words
    - + Edges from ROOT to all words
- Identify maximum spanning tree
  - Tree s.t. all nodes are connected

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Select such tree with highest weight



## Graph-based Dependency Parsing

- Arc-factored model:
  - Weights depend on end nodes & link
  - Weight of tree is sum of participating arcs







### nitial Graph: (McDonald et al, 2005b)

#### John saw Mary

- All words connected: ROOT only has outgoing arcs
- Goal: Remove arcs to create a tree covering all words
  - Resulting tree is parse







- McDonald et al, 2005 use variant of Chu-Liu-Edmonds algorithm for MST (CLE)
- Sketch of algorithm:
  - For each node, greedily select incoming arc with max weight
  - If the resulting set of arcs forms a tree, this is the MST.
  - If not, there must be a cycle.
    - "Contract" the cycle: Treat it as a single vertex
    - Recalculate weights into/out of the new vertex
    - Recursively do MST algorithm on resulting graph
- Running time: naïve:  $O(n^3)$ ; Tarjan:  $O(n^2)$ 
  - Applicable to non-projective graphs

### Maximum Spanning Tree





### Step | & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
  - No, there's a cycle.
- Collapse the cycle
- And re-examine the edges again







#### Calculating Weights for Collapsed Vertex





#### s(Mary, C) 11 + 20 = 31



#### Calculating Weights for Collapsed Vertex







## Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?
  - Yes!
  - ... but must recover collapsed portions.







## Learning Weights

- Weights for arc-factored model learned from dependency treebank
  - Weights learned for tuple (  $w_i, w_j, l$  )
- <u>McDonald et al, 2005a</u> employed discriminative ML
  - MIRA (Crammer and Singer, 2003)
- Operates on vector of local features







## Features for Learning Weights

- Simple categorical features for  $(w_i, L, w_j)$  including:
  - Identity of  $w_i$  (or char 5-gram prefix), POS of  $w_i$
  - Identity of  $w_j$  (or char 5-gram prefix), POS of  $w_j$
  - Label of L, direction of L
  - Number of words between  $w_i, w_j$
  - POS tag of  $w_{i-1}$ , POS tag of  $w_{i+1}$
  - POS tag of  $w_{j-1}$ , POS tag of  $w_{j+1}$



#### • Features conjoined with direction of attachment and distance between words





## Dependency Parsing

- Dependency Grammars:
  - Compactly represent predicate—argument structure
  - Lexicalized, localized
  - Natural handling of flexible word order
- Dependency parsing:
  - Conversion to phrase structure trees
  - Graph-based parsing (MST), efficient non-proj  $O(n^2)$
  - Next time: Transition-based parsing







## Further Reading

- Proceedings of the 43rd Annual Meeting of the Association for Computational Linguistics, pages 91–98. May. [link]
- Processing, pages 523–530. Association for Computational Linguistics. [link]
- Sandra Kübler, Ryan McDonald, and Joakim Nivre. 2009. Dependency Parsing. Morgan & Claypool. [link]
- Conference on Computational Linguistics, pages 340–345. Association for Computational Linguistics. [link]
- Michael Collins. 1999. Head-Driven Statistical Models For Natural Language Parsing. [link]



Ryan McDonald, Koby Crammer, and Fernando Pereira. 2005. Online Large-Margin Training of Dependency Parsers. In

Ryan McDonald, Fernando Pereira, K. Ribarov, and Jan Hajič. 2005b. Non-projective dependency parsing using spanning tree algorithms. In Proceedings of the conference on Human Language Technology and Empirical Methods in Natural Language

Jason M. Eisner. 1996. Three new probabilistic models for dependency parsing: An exploration. In Proceedings of the 16th



